



**KEY ETF FACTS**

**NAV PER UNIT:** \$21.40 (CAPS)  
\$23.36 (CAPS.B)  
\$19.85 USD (CAPS.U)

**LISTING DATE:** October 16, 2017  
November 14, 2018 (CAPS.U)

**TSX TICKER(S):** CAPS (Hedged)  
CAPS.B (Unhedged)  
CAPS.U (USD)

**ASSET CLASS:** U.S. Large Cap Equities

**STYLE:** Active

**CUSIP:** 30051L207 (CAPS)  
30051L108 (CAPS.B)  
30051L116 (CAPS.U)

**EXCHANGE:** Toronto Stock Exchange (TSX)

**CURRENCY:** CAD (CAPS.U in USD)

**MANAGEMENT FEE<sup>1</sup>:** 0.70%

**DISTRIBUTION FREQUENCY:** Monthly

**REGISTERED ACCOUNT ELIGIBILITY:** Yes

**NUMBER OF HOLDINGS:** 104

**SUB-ADVISOR:**

**nuveen**  
A TIAA Company

Active management in primarily U.S. listed large-capitalization companies.

**INVESTMENT OBJECTIVE**

CAPS seeks to provide long-term capital appreciation by investing primarily in equity securities of U.S. listed large-capitalization companies using a selection process that combines quantitative techniques, fundamental analysis and risk management.

**TOP 10 HOLDINGS**

**WEIGHT**

1. MICROSOFT CORP	2.54%
2. APPLE INC	2.49%
3. AMAZON.COM INC	1.88%
4. MASTERCARD INC	1.85%
5. ALPHABET INC	1.73%
6. COMCAST CORP	1.72%
7. UNITEDHEALTH GROUP INC	1.71%
8. CITIGROUP INC	1.58%
9. CISCO SYSTEMS INC	1.50%
10. ABBVIE INC	1.42%

**INDUSTRY ALLOCATION**

CONSUMER, NON-CYCLICAL	17%
COMMUNICATIONS	18%
CONSUMER, CYCLICAL	15%
TECHNOLOGY	15%
FINANCIAL	13%
INDUSTRIAL	11%
ENERGY	7%
BASIC MATERIALS	4%

**GEOGRAPHIC ALLOCATION**

UNITED STATES	98%
NETHERLANDS	2%



**Who Should Consider This Fund?**

Investors who are:

- Seeking capital appreciation through having exposure to U.S. equities
- Looking for active management from one of the largest asset managers in the world

Percentages may not add up to 100% due to rounding.

<sup>1</sup> Plus applicable sales taxes.

## PERFORMANCE (%)

TOTAL RETURNS <sup>2</sup>	1 MTH	3 MTH	6 MTH	YTD	1 YR	SI <sup>3</sup>
CAPS (HEDGED)	3.99	3.82	-0.34	12.59	1.01	8.99
RUSSELL 1000 INDEX <sup>4</sup> (USD)	4.04	9.43	9.99	18.60	13.32	18.67
CAPS.B (UNHEDGED)	4.31	6.07	1.95	10.97	6.49	18.67
RUSSELL 1000 INDEX <sup>4</sup> (CAD)	4.38	11.64	12.10	16.75	18.49	27.22

Source: Bloomberg, as at April 30, 2019.

<sup>2</sup> For the period ending April 30, 2019. The indicated rates of return are the historical annual compounded total returns including changes in per unit value and reinvestment of all dividends or distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any securityholder that would have reduced returns. The rates of return shown in the table are not intended to reflect future values of the ETF or returns on investment in the ETF. ETFs are not guaranteed, their values change frequently and past performance may not be repeated.

<sup>3</sup> Performance since inception on October 16, 2017, as at April 30, 2019.

<sup>4</sup> The Russell 1000 Index (RIY Index) is a stock market index that tracks the highest-ranking 1,000 stocks in the Russell 3000 Index, which represent about 90% of the total market capitalization of that index.

## ABOUT NUVEEN ASSET MANAGEMENT, LLC (<https://www.nuveen.com/NuveenAssetManagement>)

Nuveen Asset Management offers a broad range of innovative investment strategies, from traditional and specialized equity to taxable and municipal fixed income. With solutions that span multiple asset classes, the firm's disciplined approach to investing is driven by integrated research and risk management processes. Nuveen Asset Management offers a collaborative approach to multi-strategy portfolio management that emphasizes quality and ingenuity.

## DISCLAIMER

Commissions, management fees and expenses all may be associated with exchange traded mutual funds (ETFs). Please read the prospectus before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. There are risks involved with investing in ETFs. Please read the prospectus for a complete description of risks relevant to the ETF. Investors may incur customary brokerage commissions in buying or selling ETF units.

Investors should monitor their holdings, as frequently as daily, to ensure that they remain consistent with their investment strategies.

## Evolve ETFs

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