



KEY ETF FACTS

NAV PER UNIT: \$20.65 (CAPS)
\$22.06 (CAPS.B)
\$19.13 USD (CAPS.U)

LISTING DATE: October 16, 2017
November 14, 2018 (CAPS.U)

TSX TICKER(S): CAPS (Hedged)
CAPS.B (Unhedged)
CAPS.U (USD)

ASSET CLASS: U.S. Large Cap Equities

STYLE: Active

CUSIP: 30051L207 (CAPS)
30051L108 (CAPS.B)
30051L116 (CAPS.U)

EXCHANGE: Toronto Stock Exchange (TSX)

CURRENCY: CAD (CAPS.U in USD)

MANAGEMENT FEE¹: 0.70%

DISTRIBUTION FREQUENCY: Monthly

REGISTERED ACCOUNT ELIGIBILITY: Yes

NUMBER OF HOLDINGS: 104

SUB-ADVISOR:

nuveen
A TIAA Company

Active management in primarily U.S. listed large-capitalization companies.

INVESTMENT OBJECTIVE

CAPS seeks to provide long-term capital appreciation by investing primarily in equity securities of U.S. listed large-capitalization companies using a selection process that combines quantitative techniques, fundamental analysis and risk management.

TOP 10 HOLDINGS

	WEIGHT
1. APPLE INC	2.20%
2. MICROSOFT CORP	2.14%
3. UNITEDHEALTH GROUP INC	1.97%
4. MASTERCARD INC	1.75%
5. AMAZON.COM INC	1.73%
6. ALPHABET INC	1.61%
7. COMCAST CORP	1.60%
8. ABBVIE INC	1.48%
9. CISCO SYSTEMS INC	1.33%
10. STARBUCKS CORP	1.30%

INDUSTRY ALLOCATION

CONSUMER, CYCLICAL	23%
CONSUMER, NON-CYCLICAL	18%
COMMUNICATIONS	15%
TECHNOLOGY	13%
ENERGY	11%
FINANCIAL	9%
INDUSTRIAL	6%
BASIC MATERIALS	5%

GEOGRAPHIC ALLOCATION

UNITED STATES	98%
NETHERLANDS	2%



Who Should Consider This ETF?

Investors who are:

- Seeking capital appreciation through having exposure to U.S. equities
- Looking for active management from one of the largest asset managers in the world

Percentages may not add up to 100% due to rounding.

¹ Plus applicable sales taxes.

PERFORMANCE (%)

TOTAL RETURNS ²	1 MTH	3 MTH	6 MTH	YTD	1 YR	SI ³
CAPS (HEDGED)	8.45	-4.01	-7.22	8.45	-6.83	4.98
RUSSELL 1000 INDEX (USD)	8.38	0.51	-3.02	8.38	-2.18	8.44
CAPS.B (UNHEDGED)	4.62	-3.89	-5.83	4.62	0.72	11.88
RUSSELL 1000 INDEX (CAD)	4.58	0.42	-2.00	4.58	4.40	13.97

Source: Bloomberg, as at January 31, 2019.

² For the period ending January 31, 2019. The indicated rates of return are the historical annual compounded total returns including changes in per unit value and reinvestment of all dividends or distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any securityholder that would have reduced returns. The rates of return shown in the table are not intended to reflect future values of the ETF or returns on investment in the ETF. ETFs are not guaranteed, their values change frequently and past performance may not be repeated.

³ Performance since inception on October 16, 2017, as at January 31, 2019.

ABOUT NUVEEN ASSET MANAGEMENT, LLC (<https://www.nuveen.com/NuveenAssetManagement>)

Nuveen Asset Management offers a broad range of innovative investment strategies, from traditional and specialized equity to taxable and municipal fixed income. With solutions that span multiple asset classes, the firm's disciplined approach to investing is driven by integrated research and risk management processes. Nuveen Asset Management offers a collaborative approach to multi-strategy portfolio management that emphasizes quality and ingenuity.

DISCLAIMER

Commissions, management fees and expenses all may be associated with exchange traded mutual funds (ETFs). Please read the prospectus before investing. ETFs are not guaranteed, their values change frequently and past performance may not be repeated. There are risks involved with investing in ETFs. Please read the prospectus for a complete description of risks relevant to the ETF. Investors may incur customary brokerage commissions in buying or selling ETF units.

Investors should monitor their holdings, as frequently as daily, to ensure that they remain consistent with their investment strategies.